

Principios ópticos y tecnológicos de los sistemas LiDAR



Jacobo Salvador

Laser and Application Research Center (CEILAP) – Argentina

jsalvador@uarg.unpa.edu.ar

AEA - GIOp

Index Presentation

- **Introduction Remote Sensing**
- **LIDAR Technique**
- **Components**
- **LIDAR Equation**
- **Intro. DIAL Technique to Measure Ozone Profile**
- **New development and challenge**
- **Results**
- **Conclusions**

Remote sensing

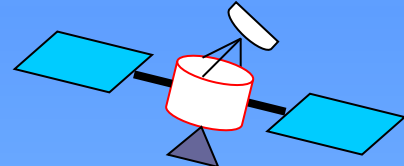
- **Remote sensing** is defined as the technique of obtaining information about objects through the analysis of data collected by instruments that are not in physical contact with the objects of investigation.

Remote sensing

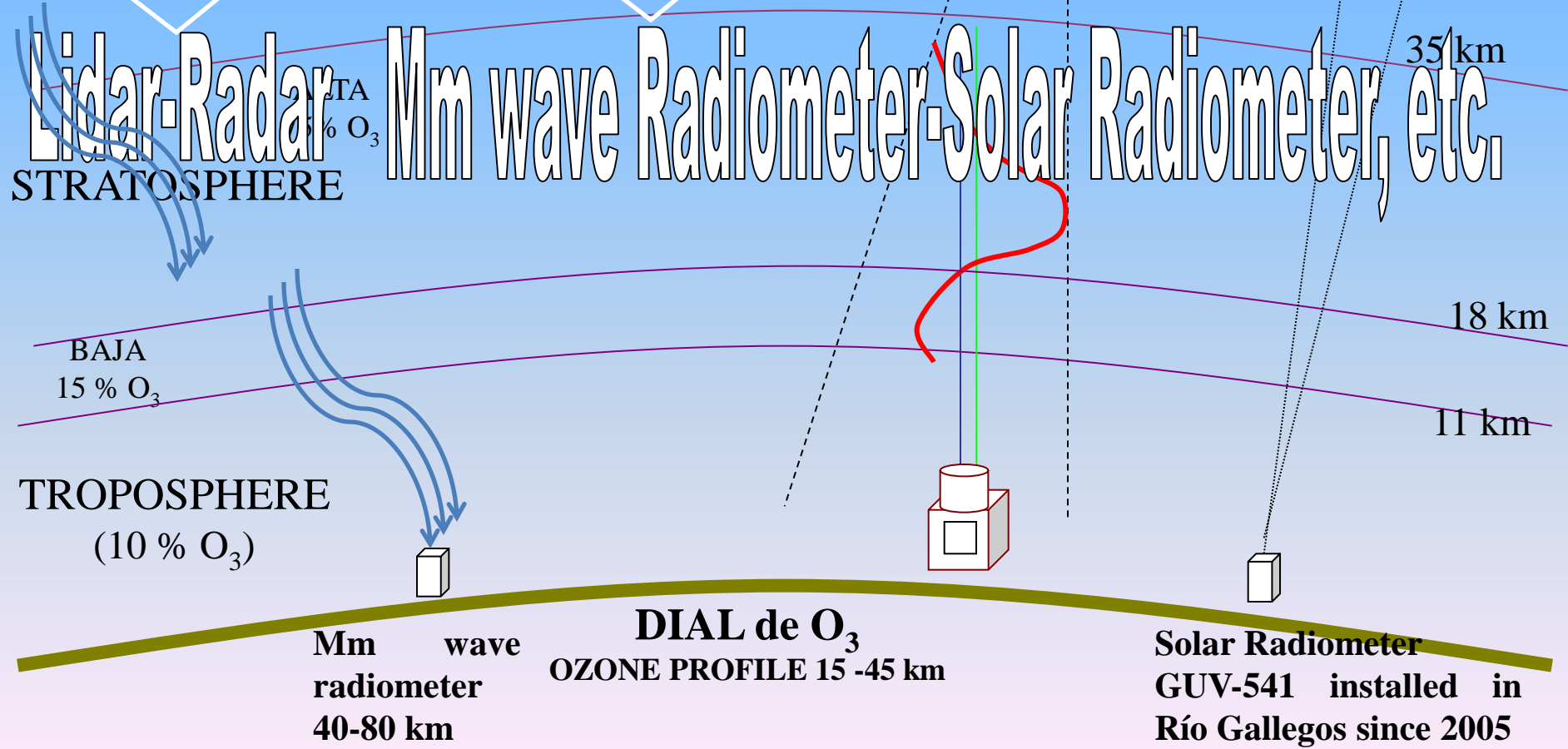


Active

Passive



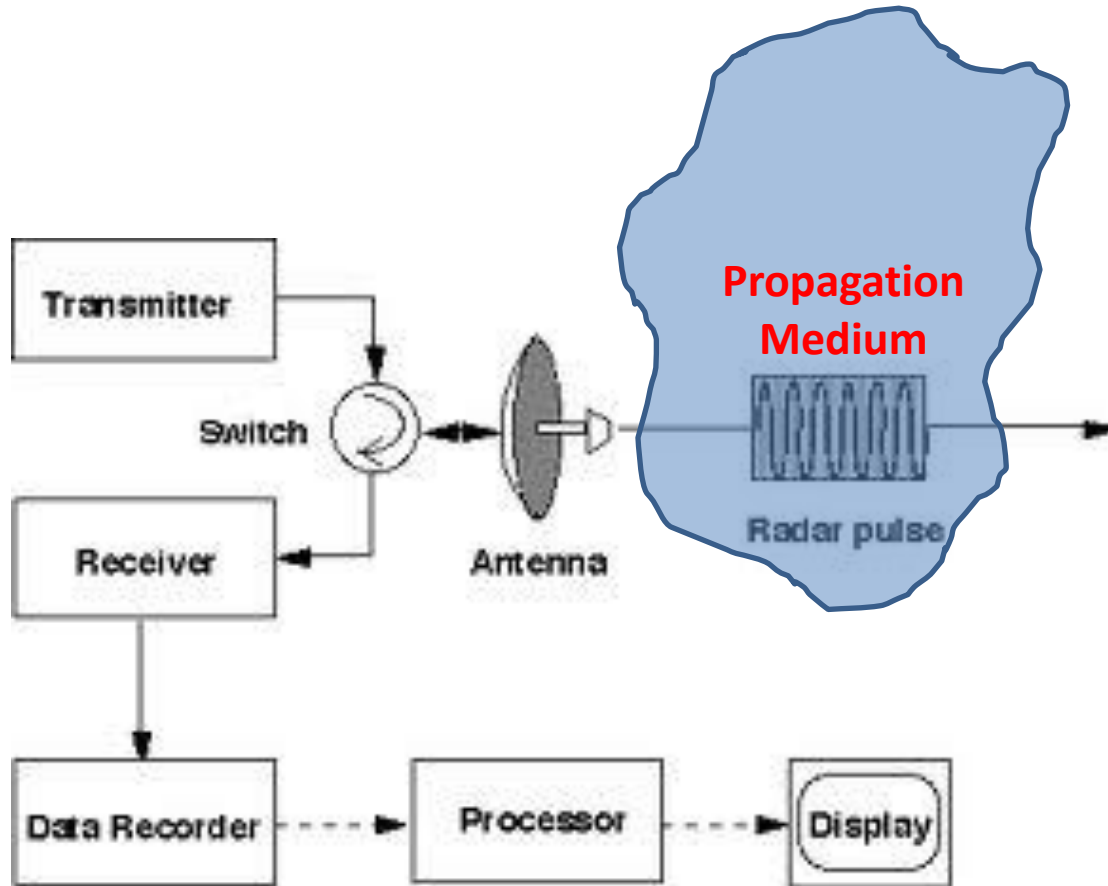
OMI-NASA
(Ozone Monitoring Instrument)
mide columna total de O₃



Principle of Active Remote Sensing

RADAR

RAdio Detection And Ranging



Introduction to LIDAR technique

LIDAR: **L**ight **D**etection **A**nd **R**anging

LIDAR History

The introduction of the lidar principle dates back to pre-laser times.

In the 1930s first attempts were made to measure air density profiles.

E.H. Synge: *Phil. Mag.* 9, 1014 (1930)

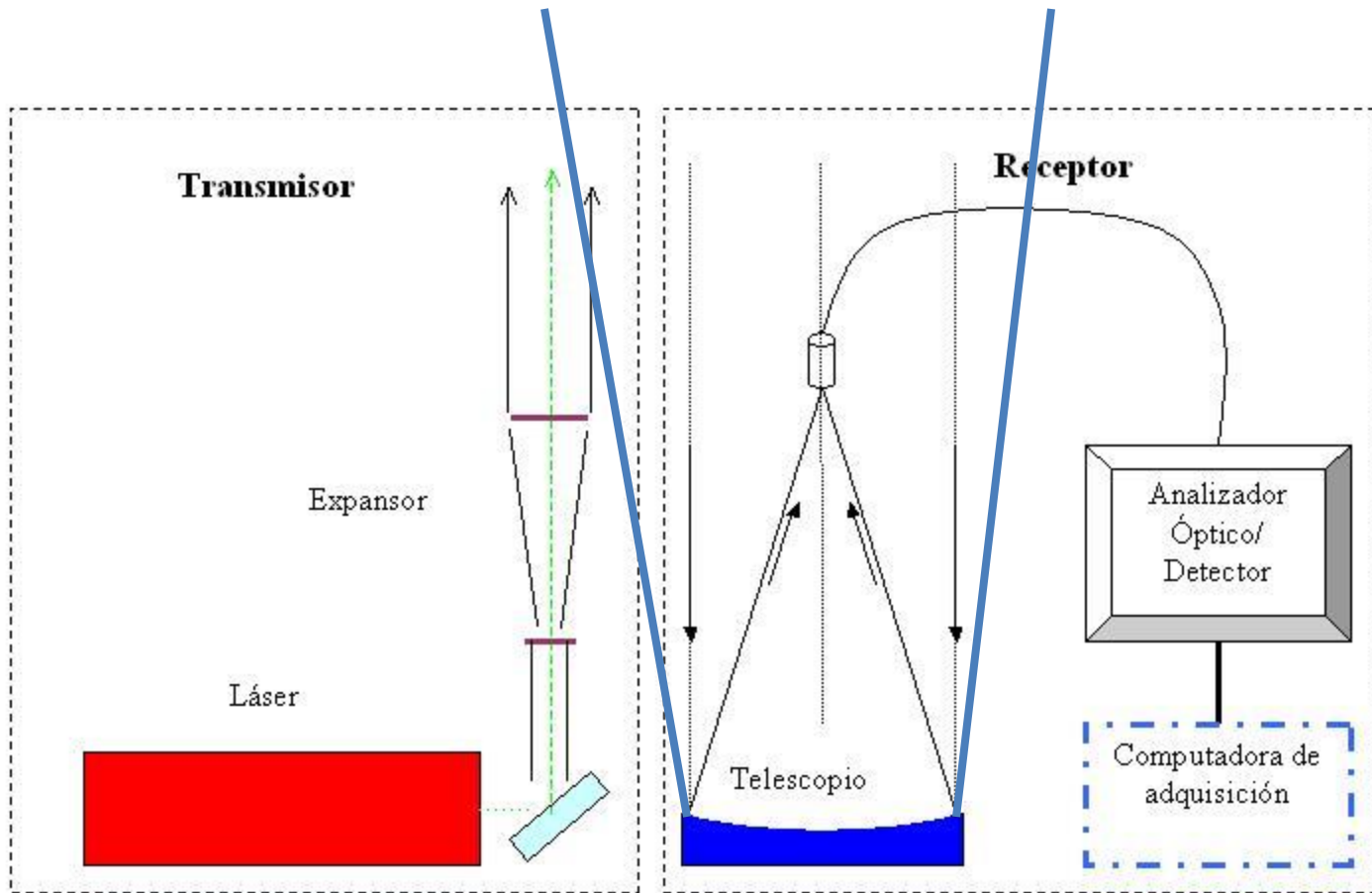
In 1938, pulses of light were used for the first time to measure cloud base heights.

R. Bureau: *La Mitdorologie* 3,292 (1946)

LiDAR acronym was introduced in 1953.

W.E.K. Middleton, A.F. Spilhaus: *Meteorological Iizstrunzents* (University of Toronto Press, Toronto 1953)

Basic Diagram of a LIDAR



LIDAR Equation(1)

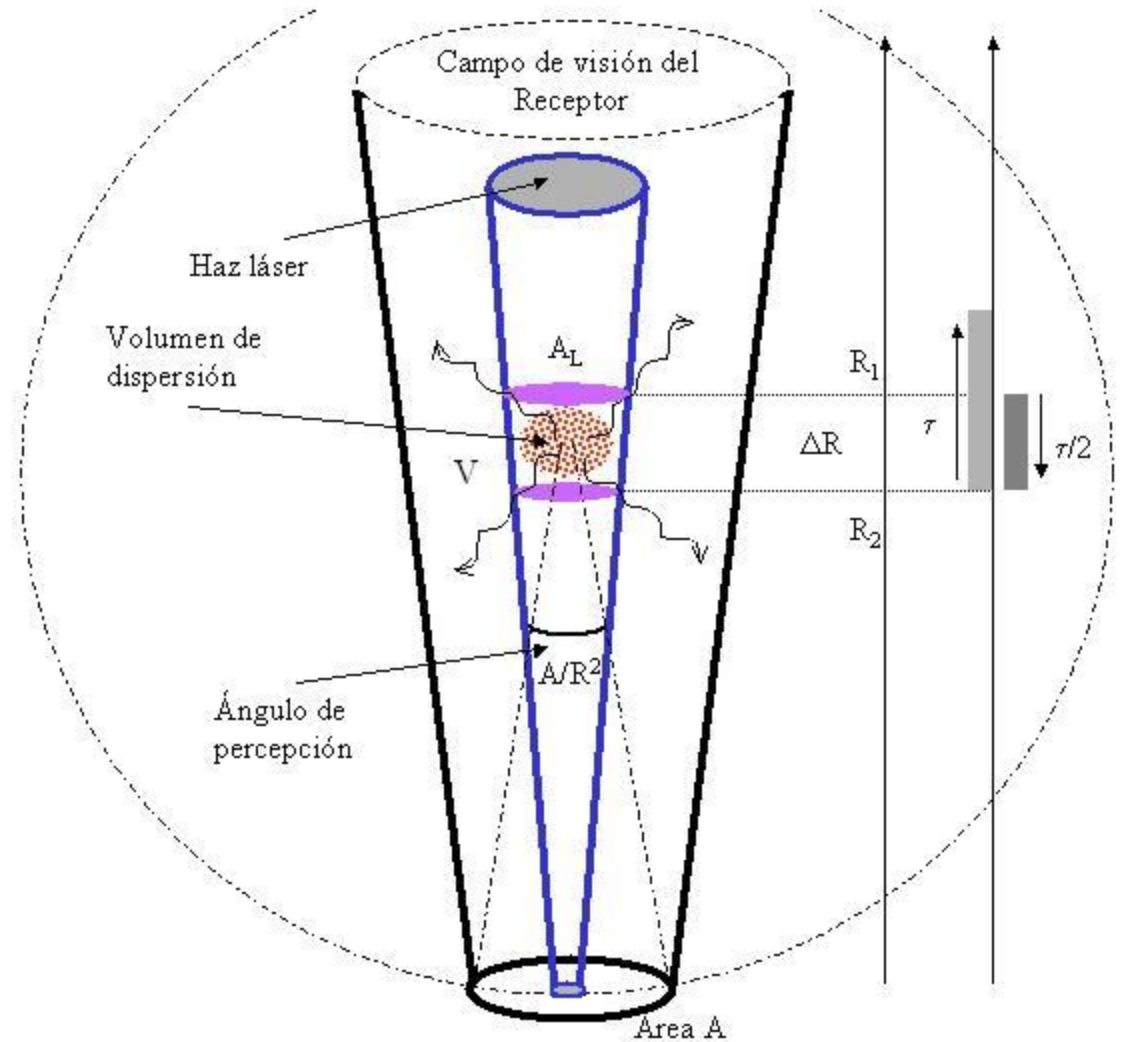
Elastic Lidar

$$(1) P(R) = KG(R)\beta(R)T(R)$$

$$(2) K = P_0 \frac{c\tau}{2} A\eta$$

$$(3) \Delta R = R_1 - R_2 = \frac{c\tau}{2}$$

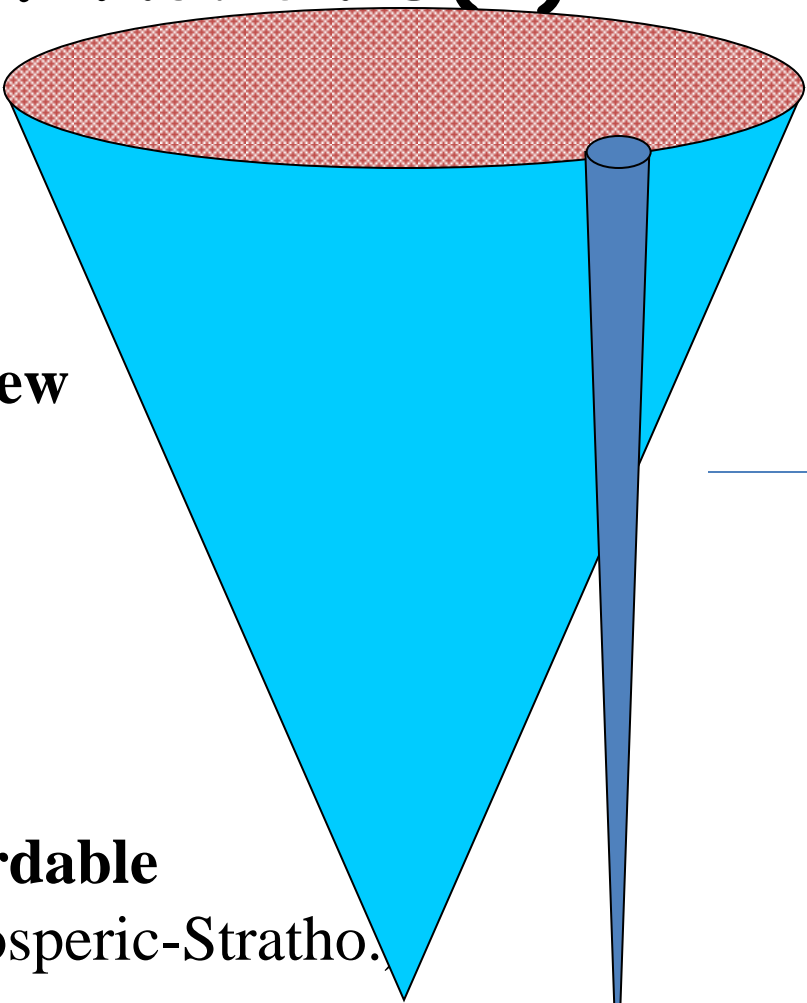
$$(4) G(R) = \frac{O(R)}{R^2}$$



Overlap Factor: Function $G(R)$

Backscatter Lidar

- **Telescope Field of View**
1 – 10 mrad
- **Laser Divergence**
0.1 – 1 mrad
- ✓ **Overlap height accordable**
300 m – 2km (trophospheric-Stratho.)



$G(R) = 1$

$0 < G(R) < 1$

Laser

LIDAR Equation(2)

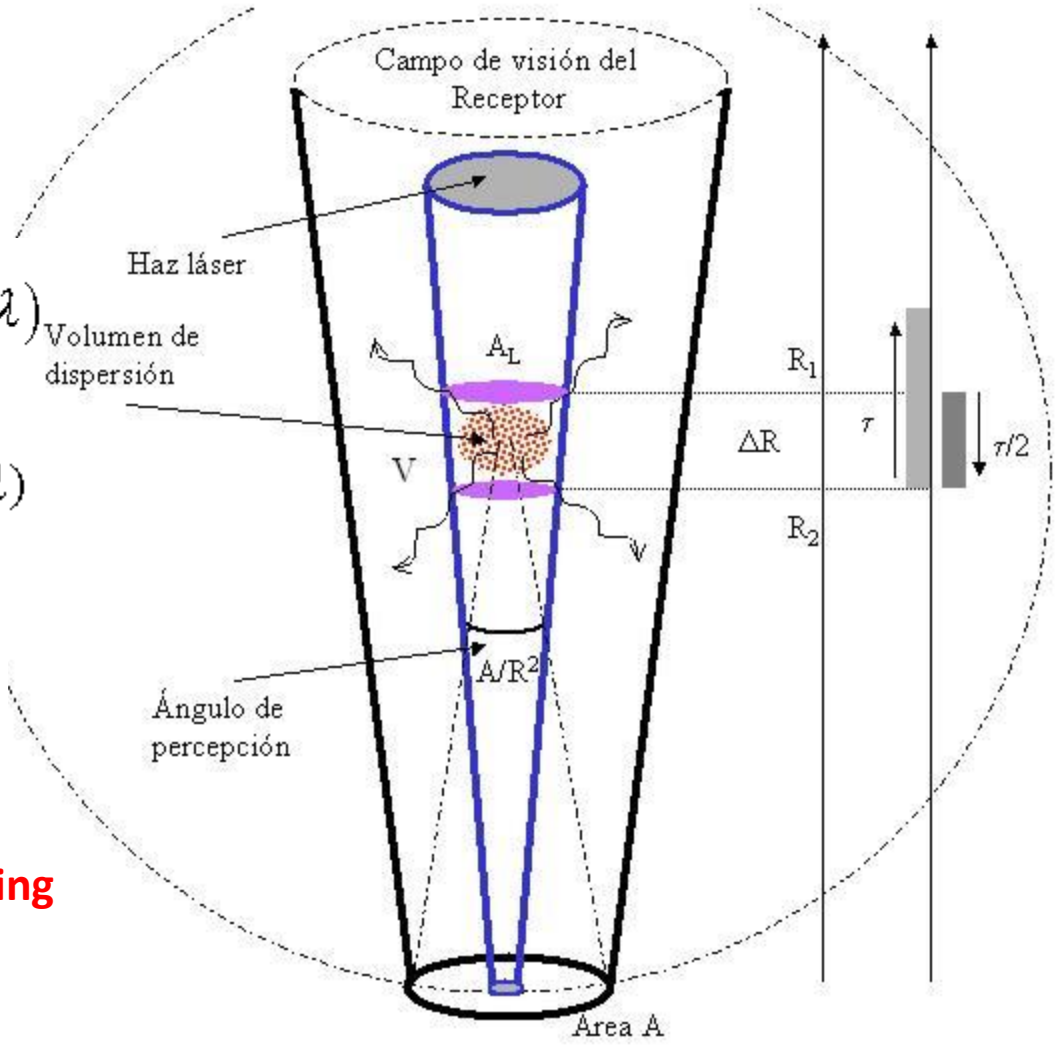
$$(1) P(R) = KG(R)\beta(R)T(R)$$

$$(5) \beta(R, \lambda) = \sum_j n_j(R) \frac{d\sigma_{j, sca}}{d\Omega}(\pi, \lambda)$$

$$(6) \beta(R, \lambda) = \beta_{mol}(R, \lambda) + \beta_{aer}(R, \lambda)$$

$$(7) T(R, \lambda) = \exp \left[-2 \int_0^R \alpha(r, \lambda) dr \right]$$

Total Backscattering



LIDAR Equation(3)

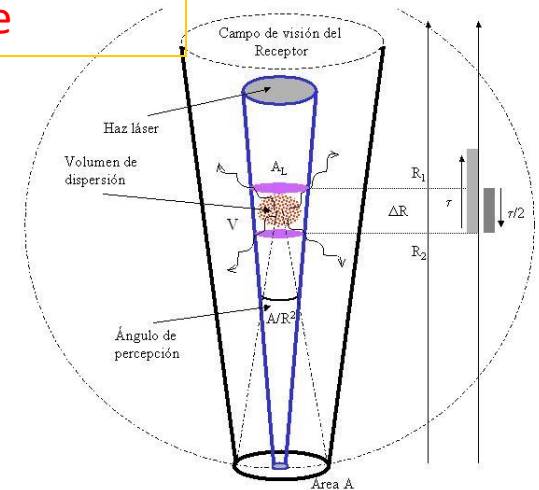
$$(1) \quad P(R) = KG(R)\beta(R)T(R)$$

Replacing in Eq (1) the other Eqs. (2), (4), (5) Y (7), then:

$$(8) \quad P(R, \lambda) = P_0 \frac{c\tau}{2} A\eta \frac{O(R)}{R^2} \beta(R, \lambda) \exp \left[-2 \int_0^R \alpha(r, \lambda) dr \right]$$

Depends of a Wavelength and Range

where $P(R)$ is the power received from range R ,
 P_0 is the average transmitted power during the laser pulse,
 η is the receiver efficiency,
 A is the receiver area,
 R is the range to the scattering volume,
 c is the speed of light,
 t is the laser pulse duration,
 and β and a are the atmospheric backscatter coefficient
 and atmospheric extinction coefficient at range R

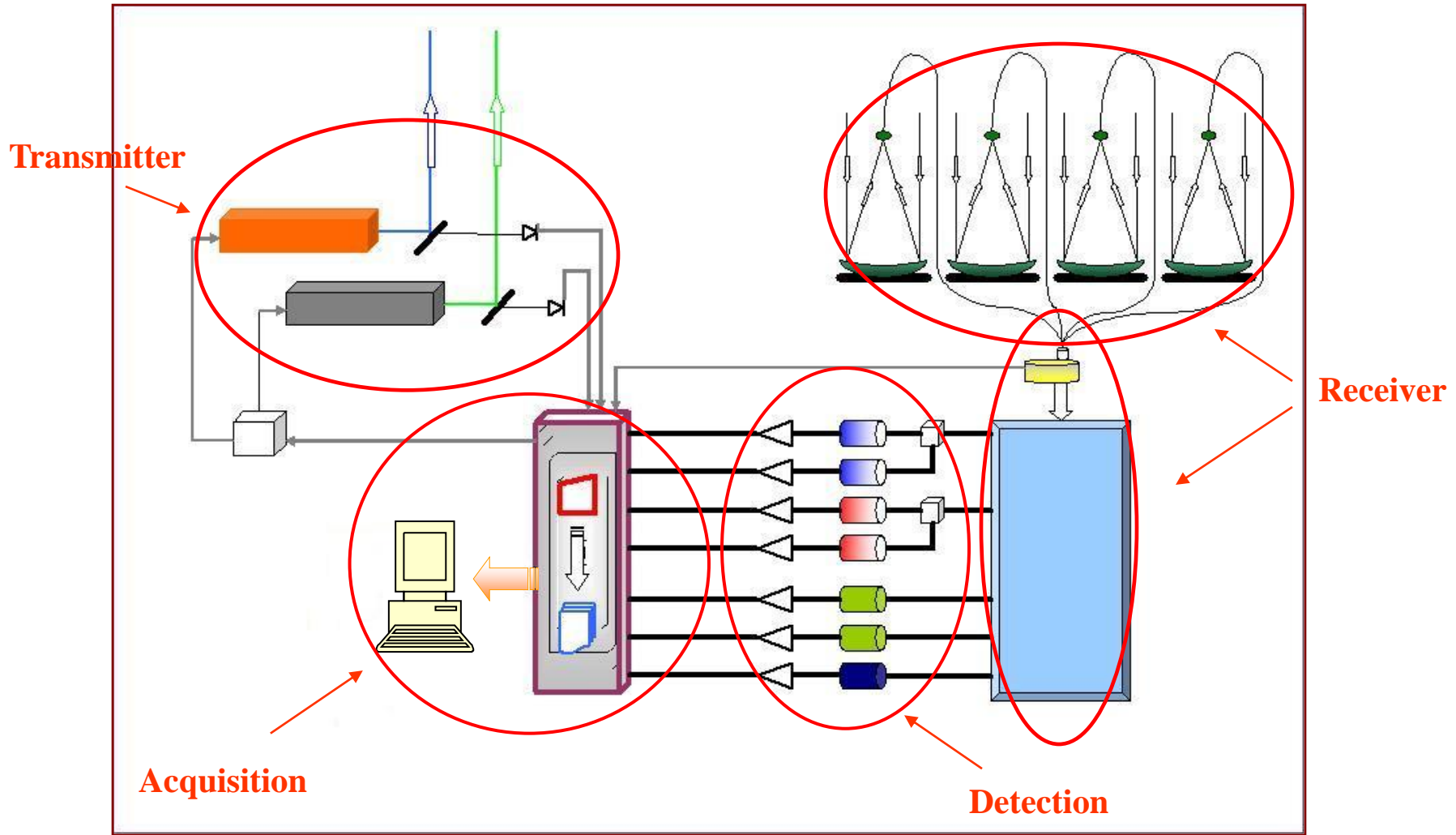


DIAL Motivation

- DIAL systems in both the ultraviolet (UV) and infrared (IR) spectral regions were developed and fielded for ozone and industrial emissions including SO₂, NO₂, NH₃, HCl, CO etc.
- The DIAL technique uses the idea of differential-absorption measurement.
- Two light pulses of different wavelengths are launched along the same path into the atmosphere, and two corresponding backscattered profiles are simultaneously measured.
- The DIAL wavelengths are selected so that the light at the one wavelength, λ_{on} , is strongly absorbed by the absorbing species under investigation, whereas the light at the second wavelength, λ_{off} , is absorbed not at all or at least much less



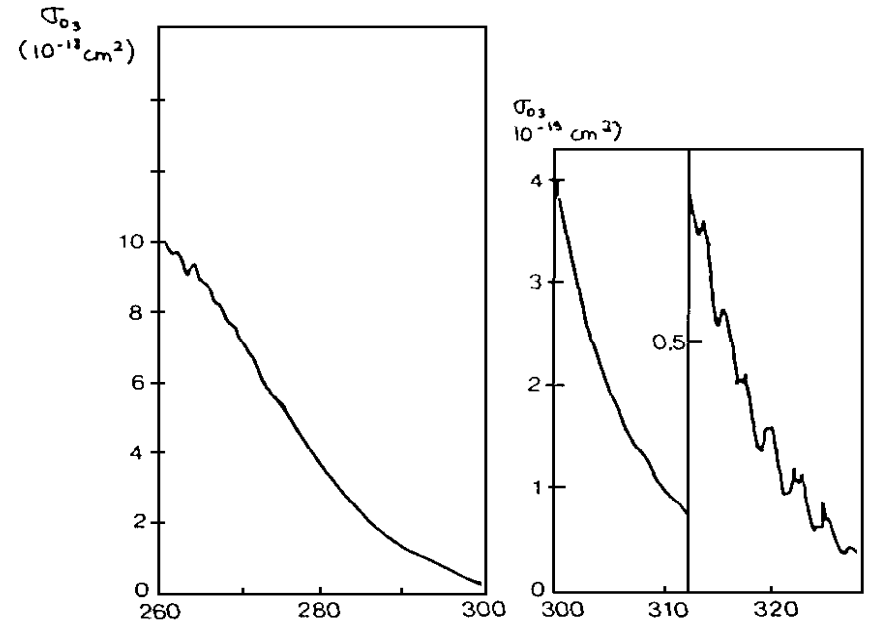
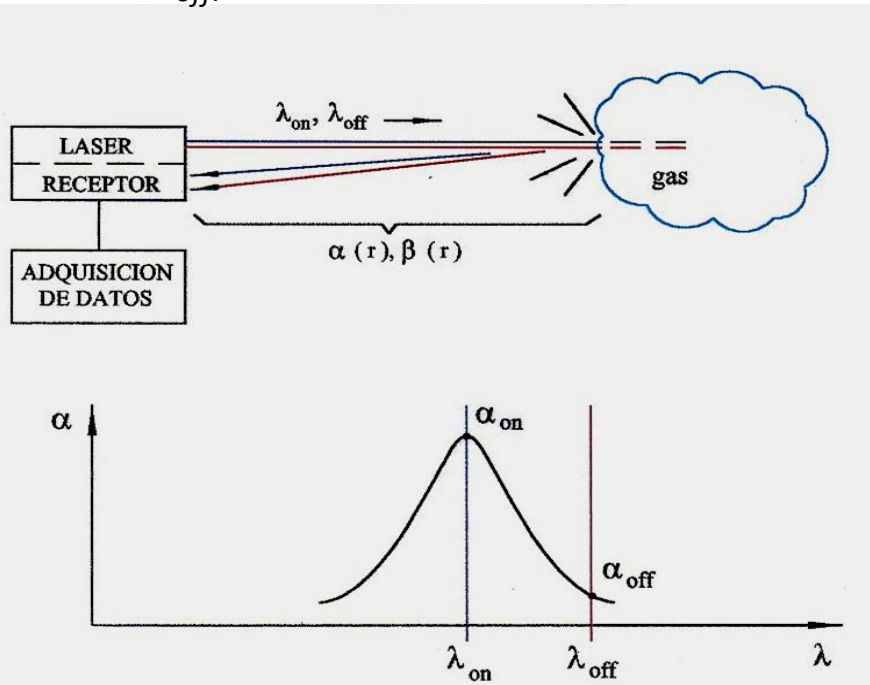
Description DIAL System



Differential Absorption Lidar Wavelength Pair Selection

λ_{on} : partial wavelength absorption by the gas under study

λ_{off} : reference wavelength



(Inn y Tanaka, 1953).

La elección de la longitud de onda de referencia (λ_{off}) se determina por la condición De que la diferencia de la sección eficaz entre λ_{off} $\lambda_{on} > 100$

$\Delta\lambda > 37 \text{ nm}$ in the UV Region

DIAL equation (1)

Lidar Equation $P(R, \lambda) = P_0 \frac{c\tau}{2} A\eta \frac{O(R)}{R^2} \beta(R, \lambda) \exp \left[-2 \int_0^R \alpha(r, \lambda) dr \right]$

Transmission $T(R, \lambda) = \exp \left[-2 \int_0^R \alpha(r, \lambda) dr \right]$

Extinction $\alpha(R, \lambda) = \alpha_{mol, sca} + \alpha_{mol, abs} + \alpha_{aer, sca} + \alpha_{aer, abs}$

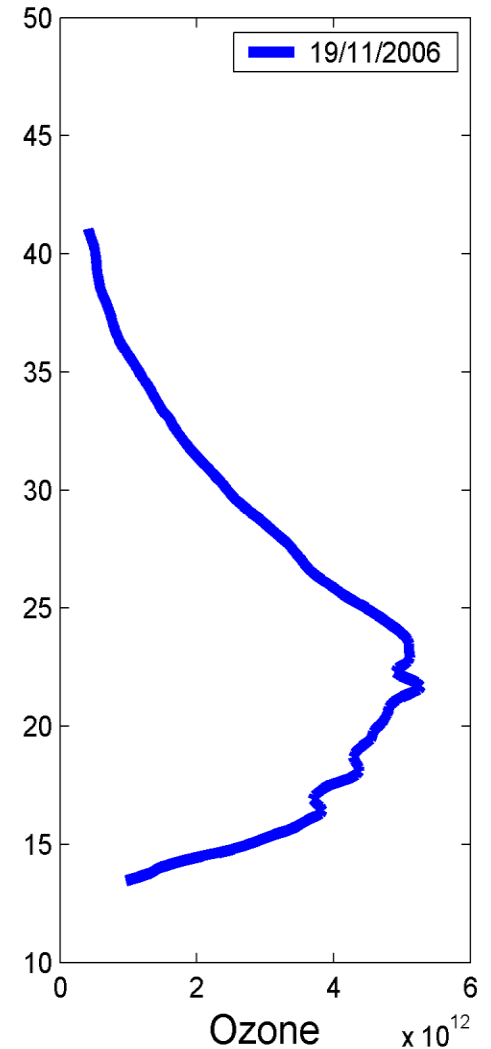
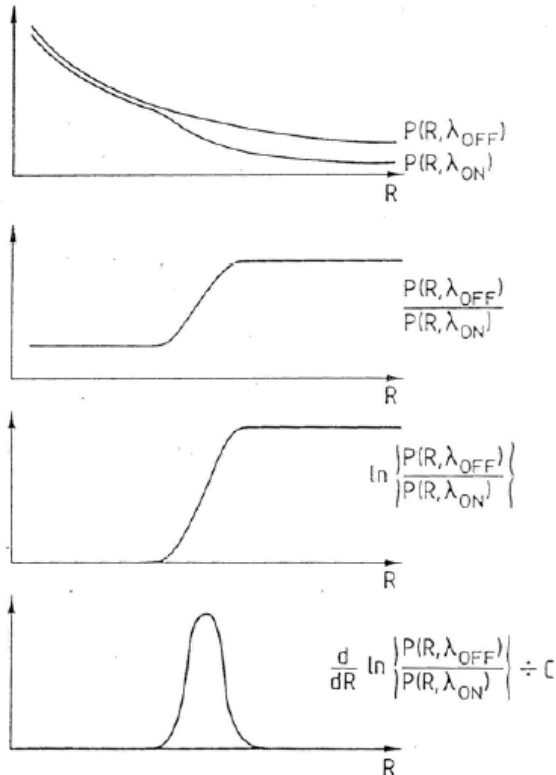
Molecular and Particle Scattering
(Rayleigh – Mie Scatt.)

Molecular and particle Absorption

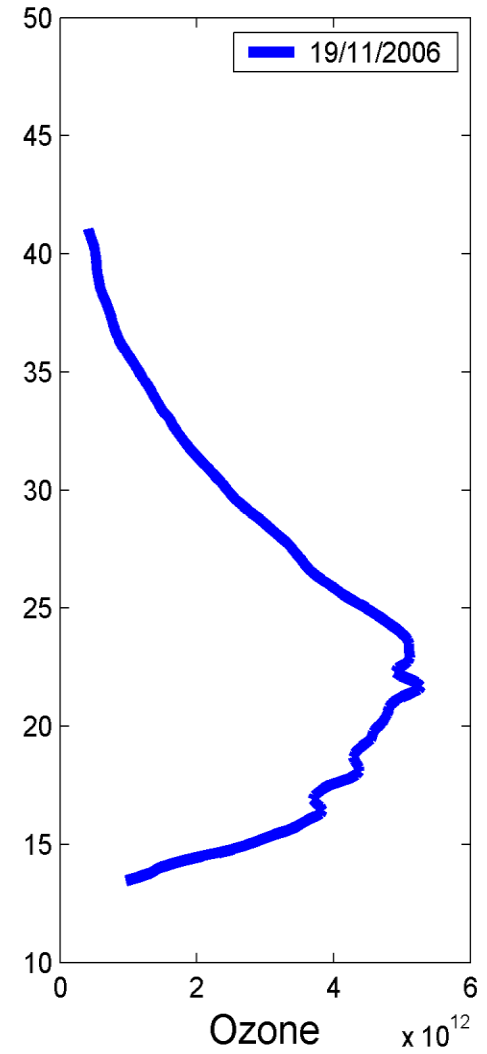
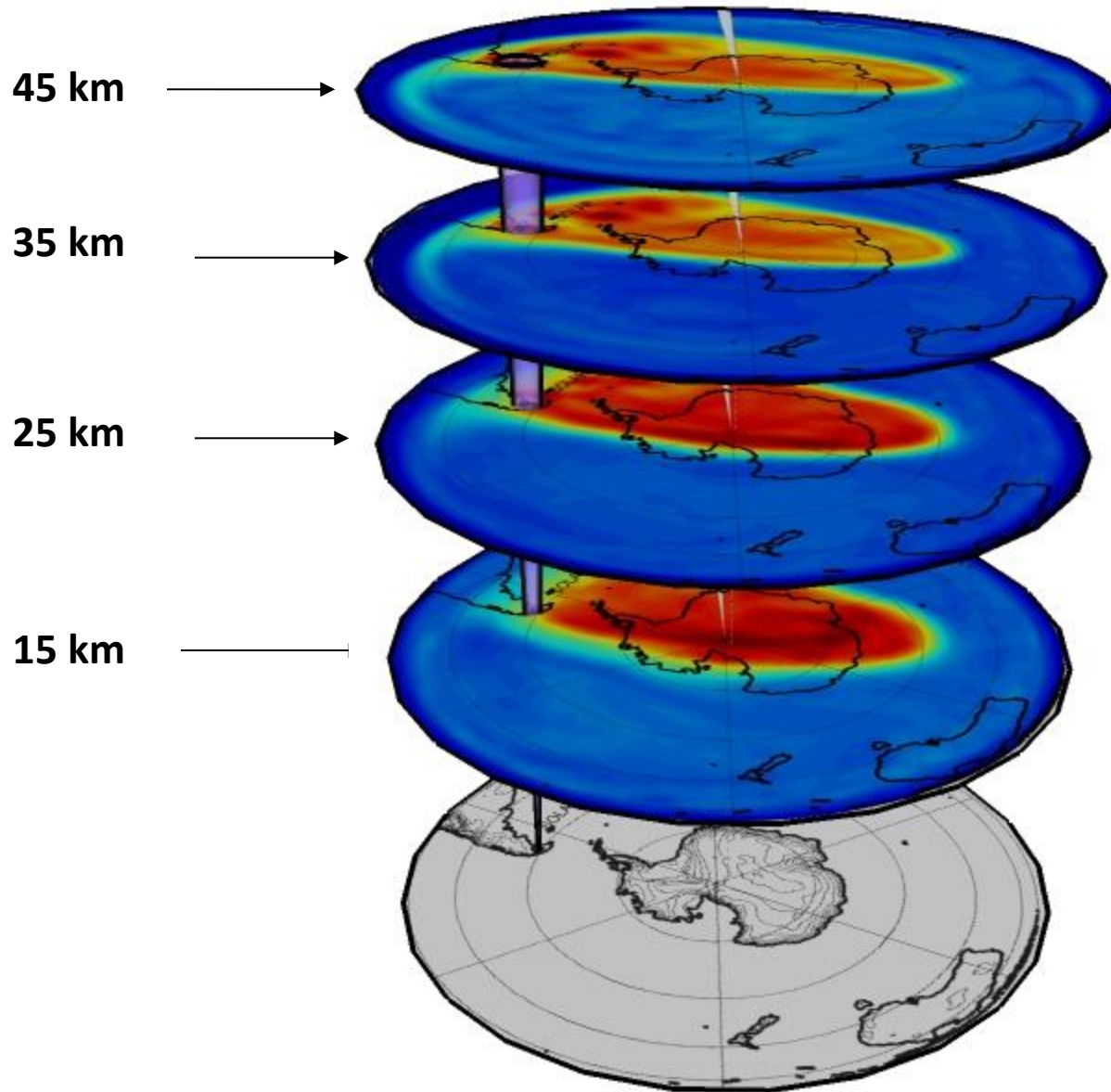
DIAL equation (1)

Signals: Generally in the detection each P_{on} and P_{off} signals are separated in High and Low Channels due the dynamical range of the lidar signal.

$$N(R) = \frac{1}{2\Delta\sigma} \frac{d}{dR} \ln \left(\frac{P(\lambda_{off}, R)}{P(\lambda_{on}, R)} \right) - \frac{1}{2\Delta\sigma} \frac{d}{dR} \ln \left[\frac{\beta(\lambda_{oj})}{\beta(\lambda_o)} \right]$$



DIAL Measurement



DIAL equation (1)

Simplified case: Extinction due only to absorbing gas

$$\Delta\alpha = N \Delta\sigma$$

where N is the molecule number density of the trace gas and

$$\Delta\sigma = \sigma(\lambda_{\text{on}}) - \sigma(\lambda_{\text{off}})$$

where σ is the molecular absorption cross section.

$$N = \frac{1}{2\Delta\sigma} \left[\frac{d}{dR} \ln \left(\frac{P_{\text{on}}}{P_{\text{off}}} \right) \right].$$

*With the assumption that
Backscattering absorption
At two wavelength are
identical*

DIAL equation is self-calibrating measurement techniques!!!!

No instrumental constants appear in final equation

Lidar Retrieval for O₃ Measurements

Differential Absorption Lidar: DIAL

Statistical Error:

$$\varepsilon_s(z) \propto (A \Delta Z_f^3 P_o T_a)^{-1/2}$$

- A telescope area
- ΔZ_f final vertical resolution
- P_o emitted laser power
- T_a duration of the measurement

Corrective or complementary term:

$$\delta n_{O_3}(z) = \frac{1}{\Delta \sigma_{O_3}(z)} \cdot \left[\underbrace{\frac{1}{2} \cdot \frac{d}{dz} \ln \frac{\beta(\lambda_{on}, z)}{\beta(\lambda_{off}, z)}}_{\text{differential atmospheric scattering (Rayleigh \& Mie)}} - \underbrace{\Delta \alpha_{Ray}(z) - \Delta \alpha_{Mie}(z)}_{\text{differential Rayleigh \& Mie extinction coefficients}} - \underbrace{\sum_e \Delta \sigma_e(z) \cdot n_e(z)}_{\text{differential extinction by other atmospheric constituents}} \right]$$

$$\beta = \beta_{Ray} + \beta_{Mie}$$

differential atmospheric scattering (Rayleigh & Mie)

$$\alpha(\lambda_{on}, z) - \alpha(\lambda_{off}, z)$$

differential extinction by other atmospheric constituents

O₃ Concentration Algorithm



Time-average lidar signals

Reading of LIDAR signals (N_{λ_i} , $i=6$)

Background signal correction (Nbg_{λ_i} , $i=6$)

non

OK?

yes

Signal desaturation & Slopes Calculations

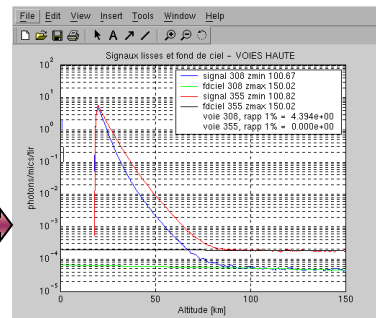
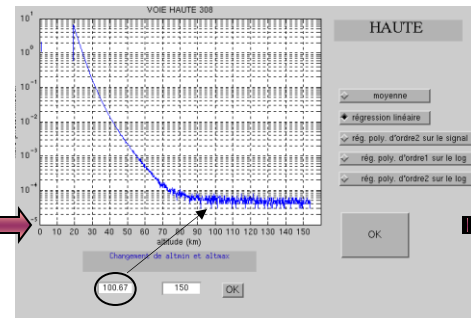
Low & High Rayleigh Slopes combination

Ozone Absorption Cross Section ($\sigma_{\lambda} = f(T)$ with $T_{\text{radiosonde+cira}}$)

O₃ Correction term Calculation ($O_3 - \text{cor}_{\text{Ray}}$)

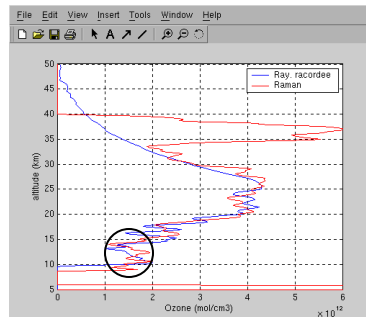
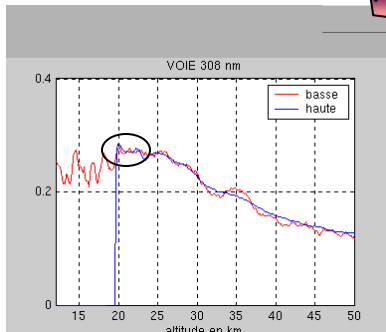
Combination of Raman & composite ozone profile

Ozone signal Filtering (binomial filter)



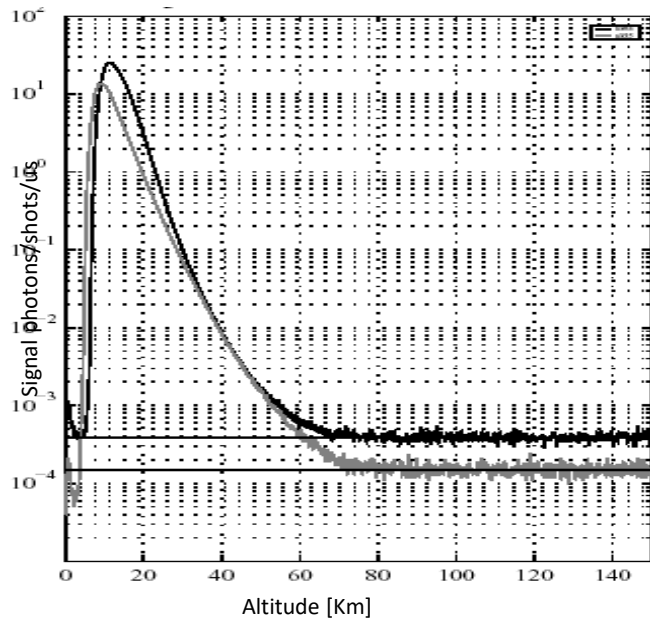
T, p, O₃

Calculation of $\ln((N_{\lambda_i} - Nbg_{\lambda_i})z^2)$
 Vertical Resolution (number of points)
 Slopes Calculation (2nd order linear regression)
 Desaturation : Pulse pile-up correction (Poisson's statistics)
 Comparison : Ray low - model Ray f(Raman signal)
 Ray high - Ray low

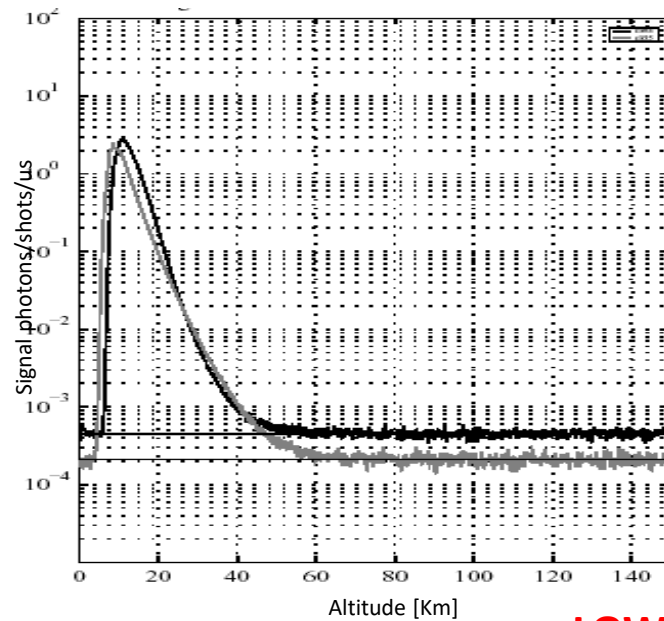


O₃ profiles, error, T, p

Signals high and background



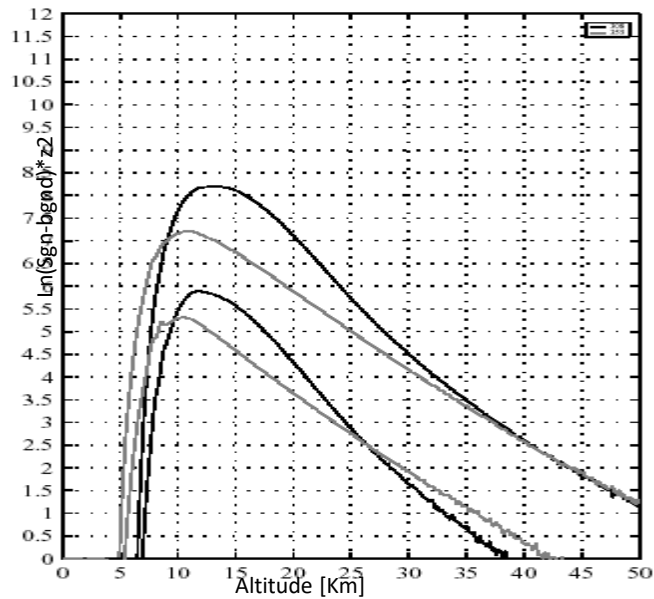
Signals low and background



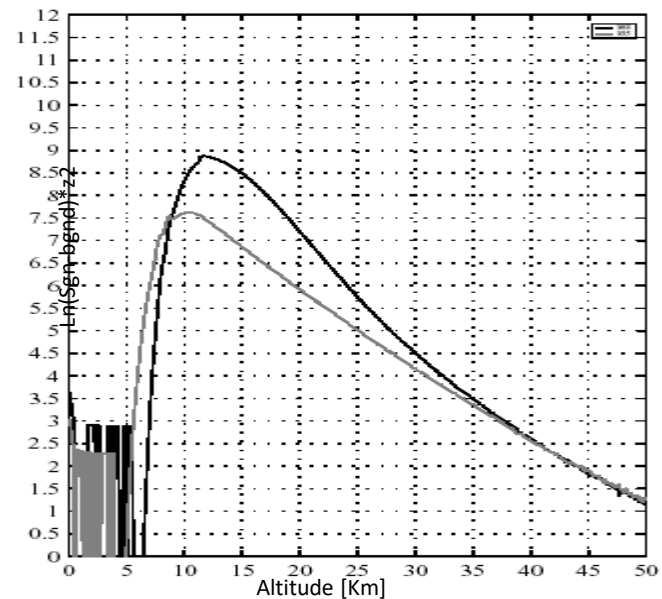
HIGH Channels

LOW Channels

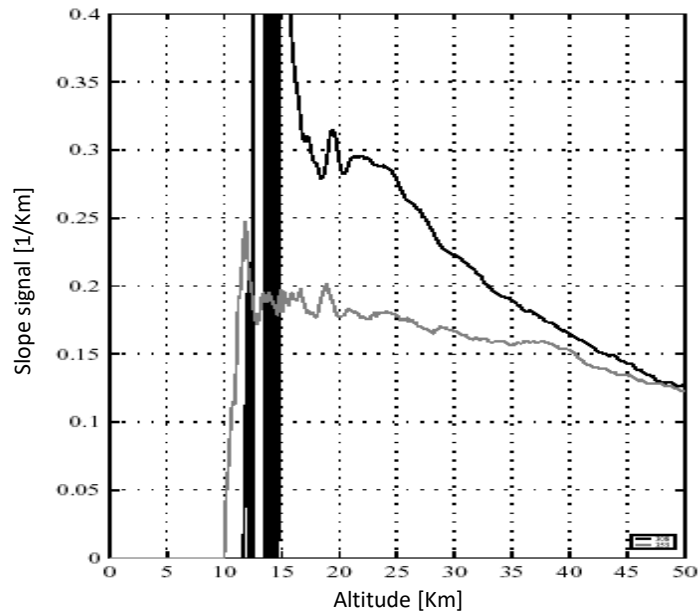
Rayleigh channel high and low



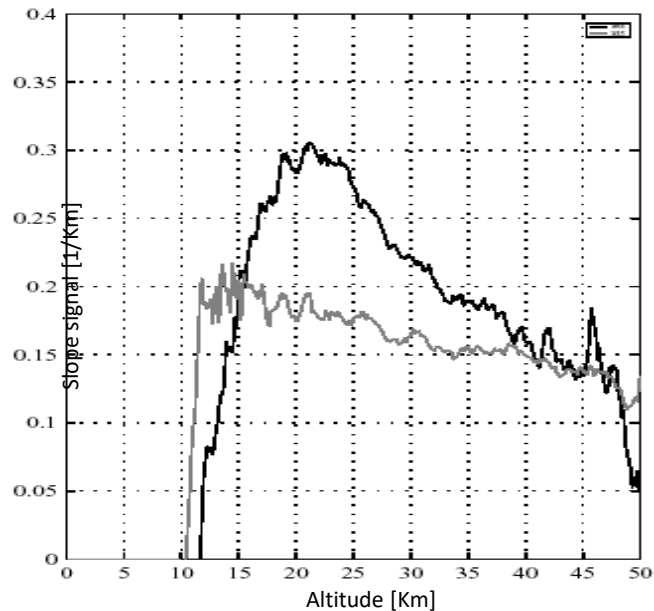
Rayleigh channel high and low



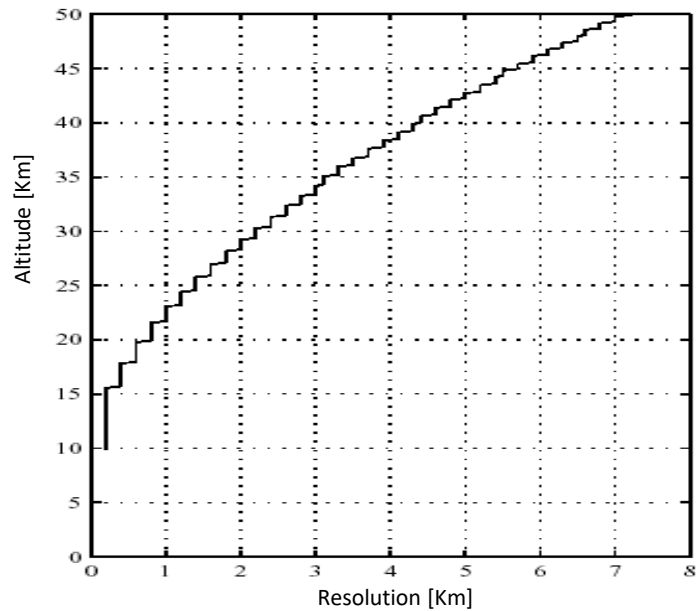
Rayleigh channel high



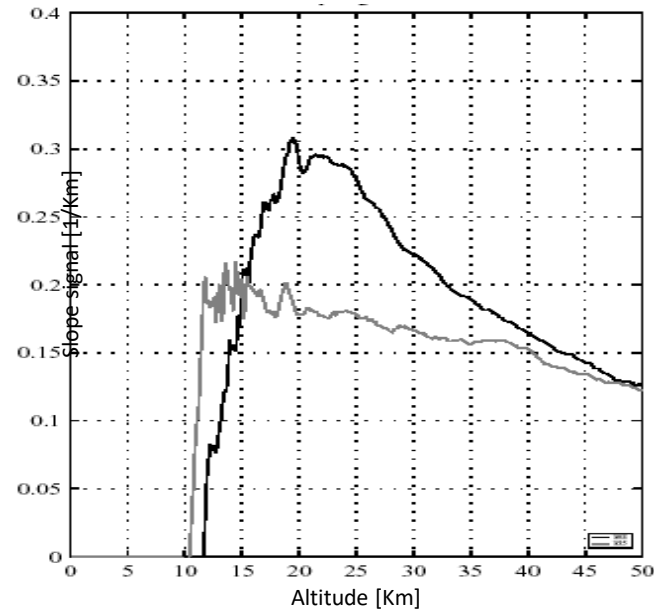
Rayleigh channel low



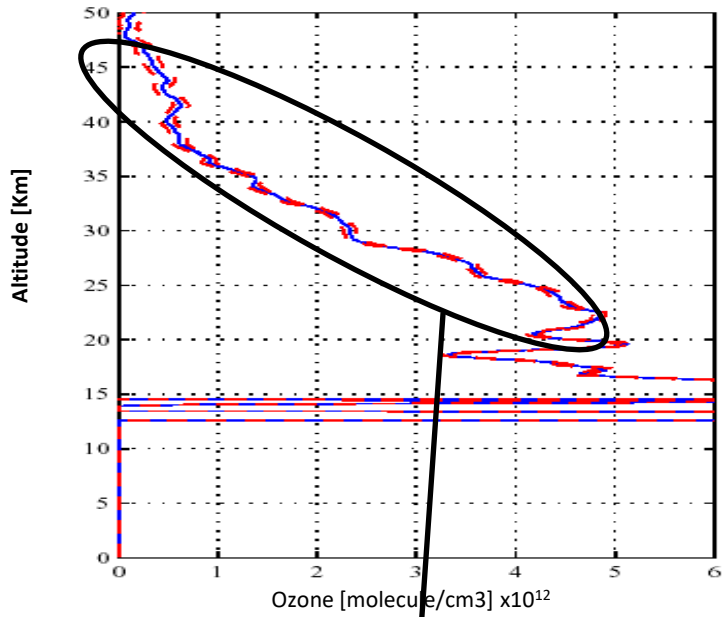
Resolution



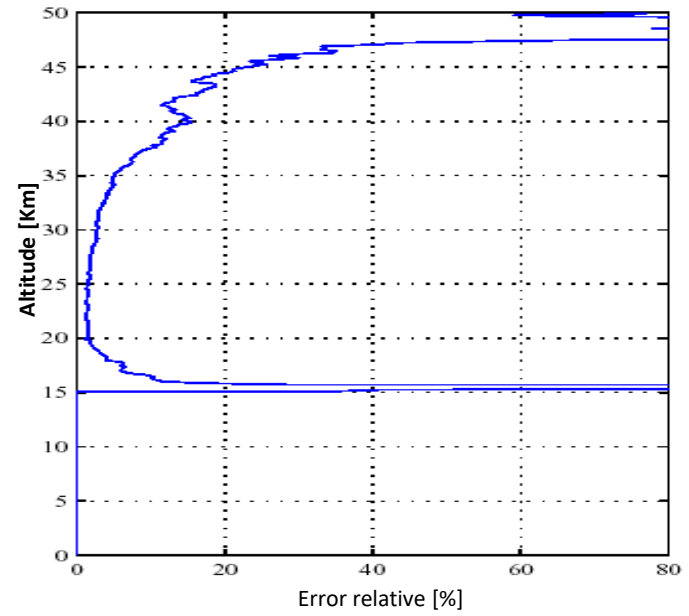
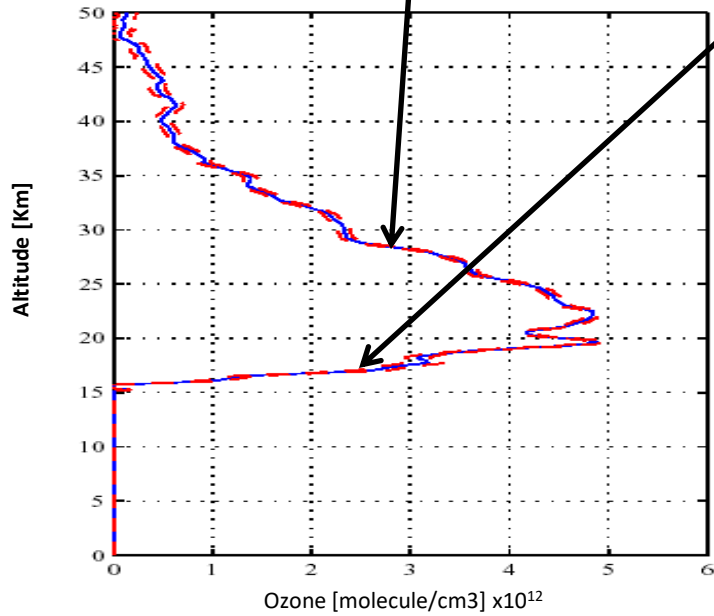
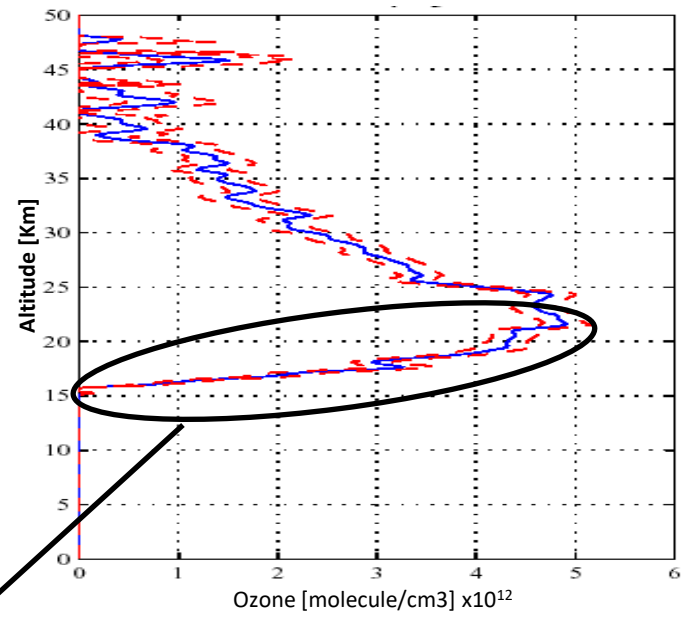
Rayleigh channel



Ozone with channel high



Ozone with channel low



Atmospheric Observatory of the Southern Patagonia - Río Gallegos Argentina

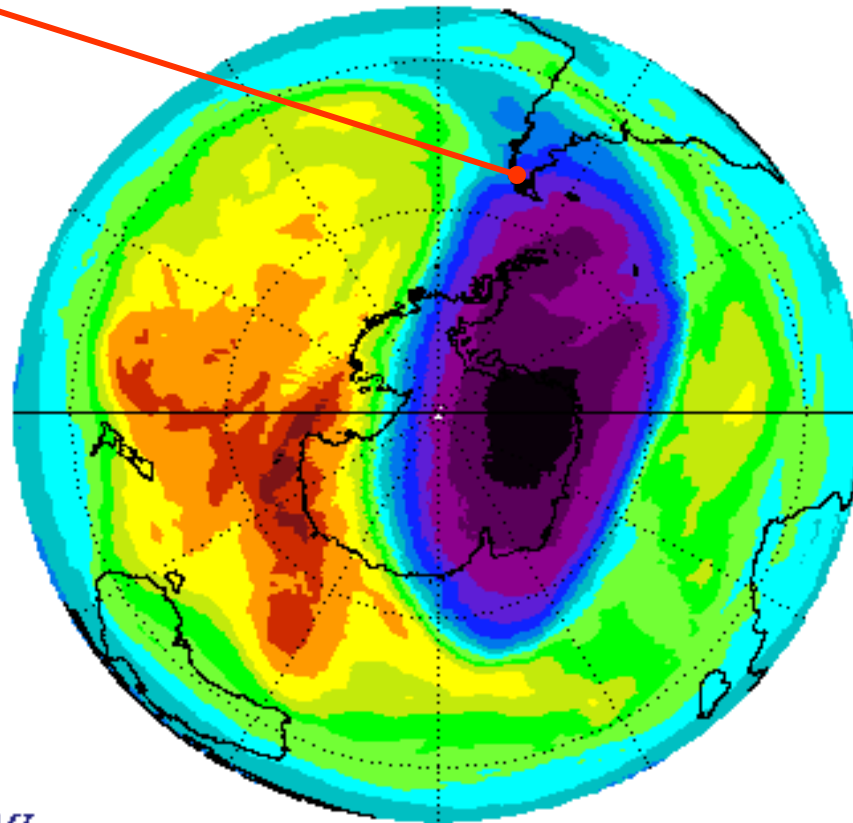


CEILAP
CONICET
CITEDEF



Sitio de Medición
Río Gallegos

OMI Total Ozone for Oct 9, 2005



NIVR-FMI-NASA-KNMI



Dobson Units

Dark Gray < 100 and > 500 DU

GSFC





Objectives

- Study the Ozone Layer at South of Argentina
- To Study the Vortex Overpass to Continental Part of Argentina
- To Measure UV Radiation at Ground Surface
- To Characterize the Atmosphere by additional measurements of:
 - ✓ Backscatter Measurements of aerosols
 - ✓ Water Vapor Mixing Ratios
 - ✓ Ground Surface Radiometric Measurements

Atmospheric Observatory of Southern Patagonia

Province of Santa Cruz, Argentine Patagonia.

Lat: 51° 36' S, Lon: 69° 19' W.

Military Air Force Base, Río Gallegos - Fuerza Aérea Argentina

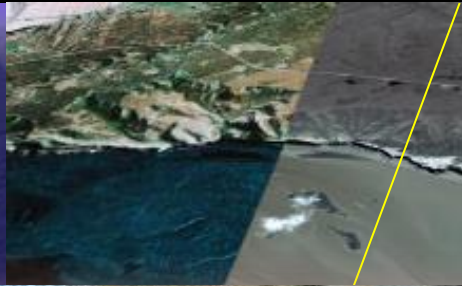


Image © 2007 DigitalGlobe

Google™

Google™

Lambda Physik LSX 210i Excimer laser (XeCl)

Emitted wavelength 308 nm

Emitted energy

Repetition rate

Divergence

~200 mJ/pulse (max. 300 mJ/pulse)

30 Hz (max. 100 Hz)

0.4 mrad

Quantel 980 Nd-YAG Laser

• Emitted wavelength

• Emitted energy

• Repetition rate

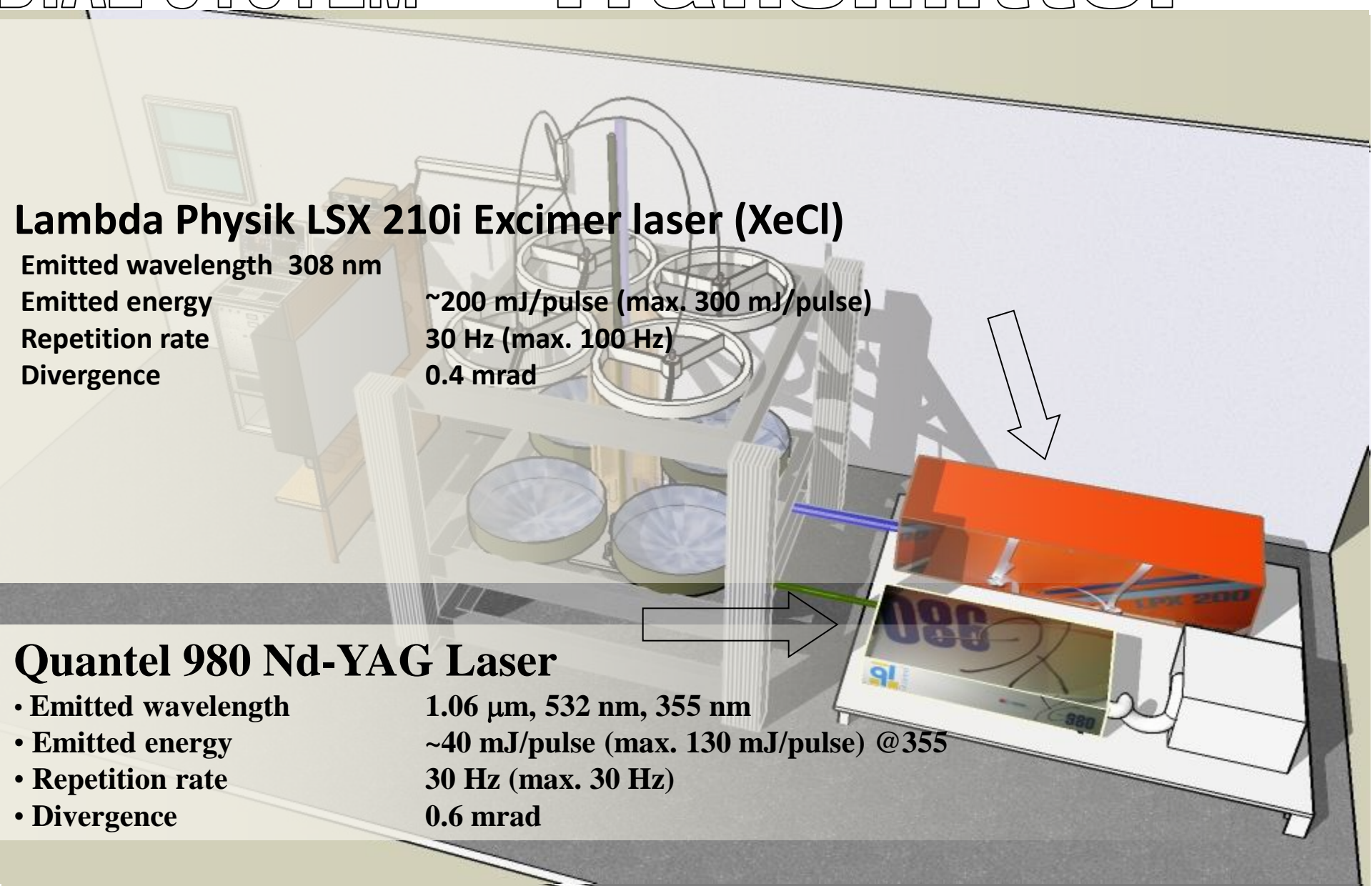
• Divergence

1.06 μm , 532 nm, 355 nm

~40 mJ/pulse (max. 130 mJ/pulse) @355

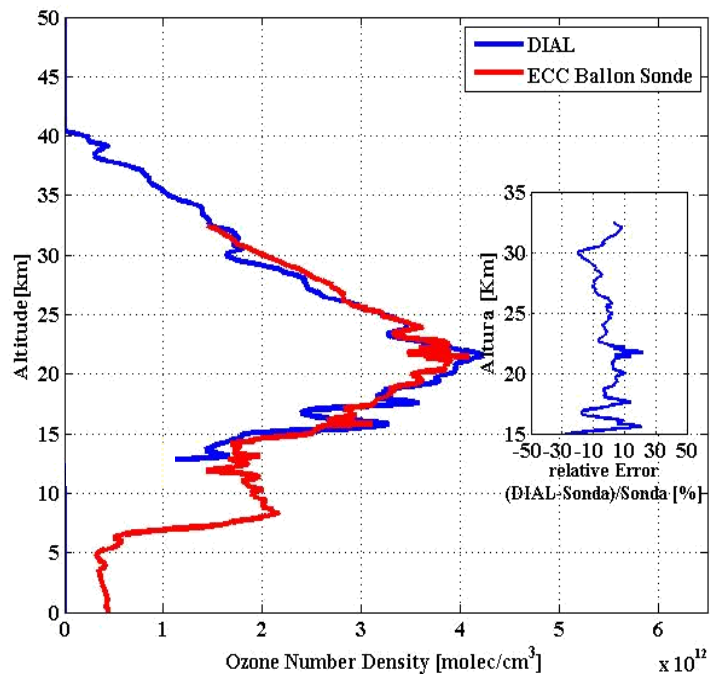
30 Hz (max. 30 Hz)

0.6 mrad



Intercomparison Campaign Río Gallegos 2010 and 2011

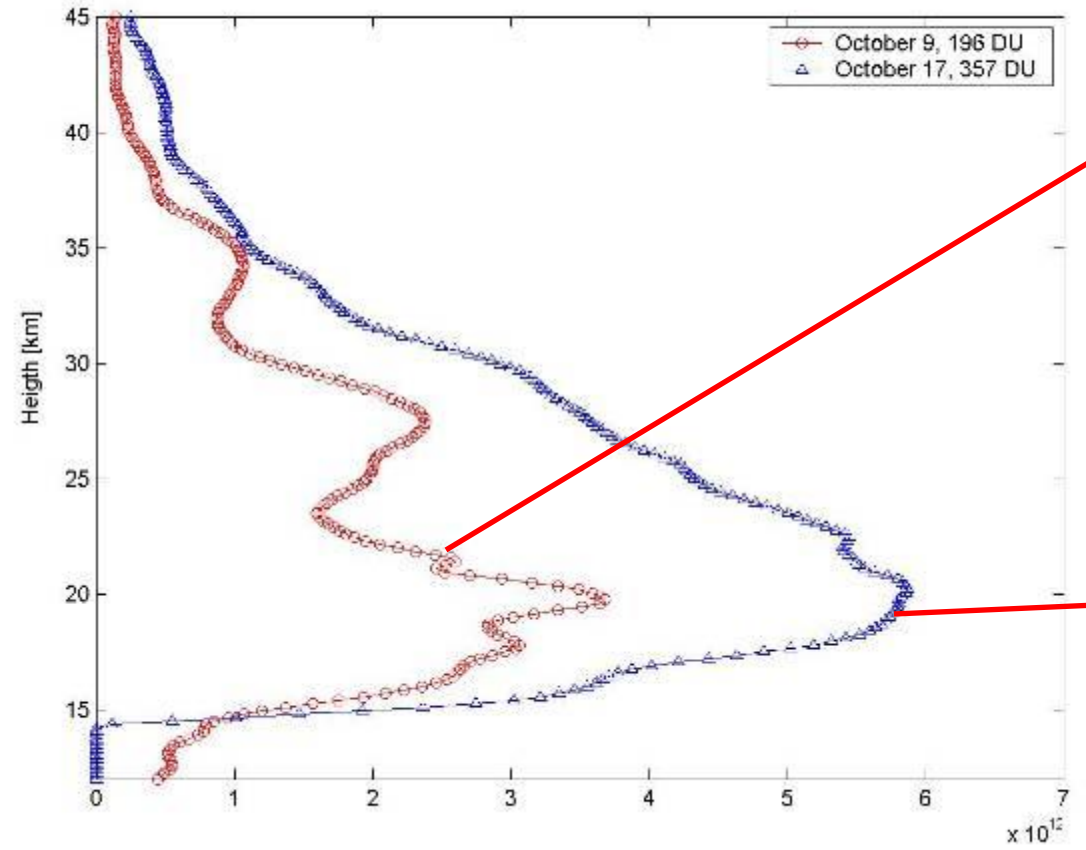
Argentina – Chile collaboration
3 sondes launched in
Río Gallegos site, collocated with
DIAL during first week of March



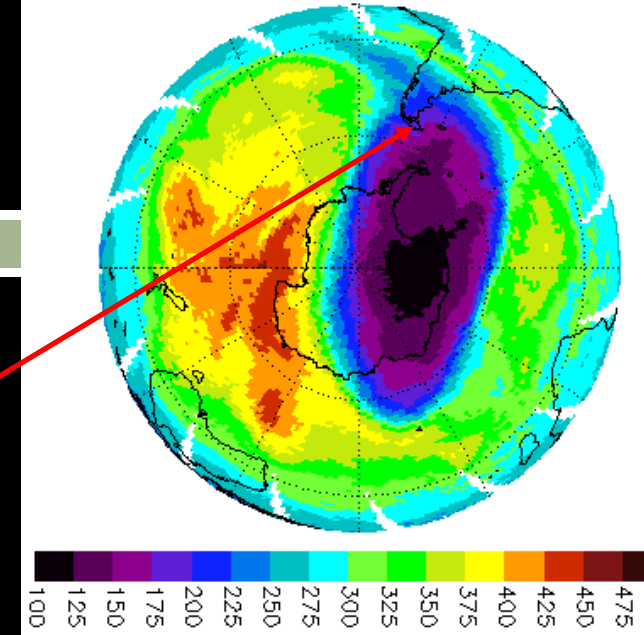
Extreme Depletion Event

El Chaitégo.

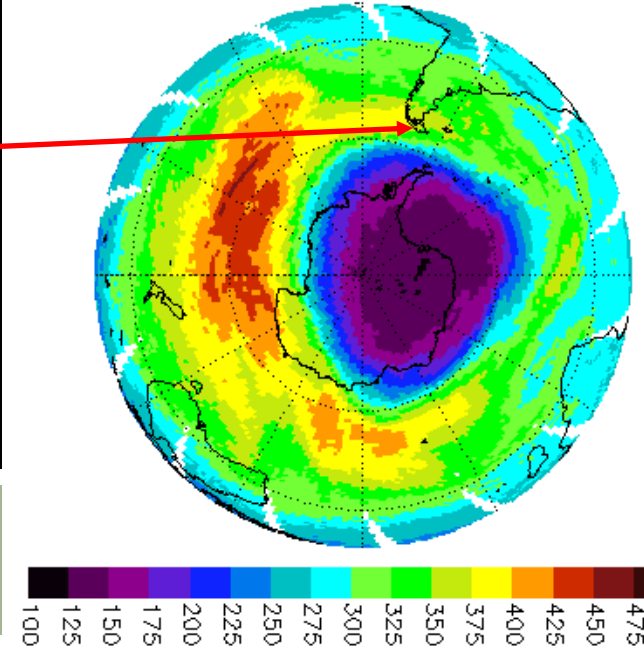
October 9 2005



EP/TOMS Total Ozone for Oct 9,



EP/TOMS Total Ozone for Oct 17,



Monitoring of Ozone Reduction
SOLAR Campaign 2005



Detección coherente

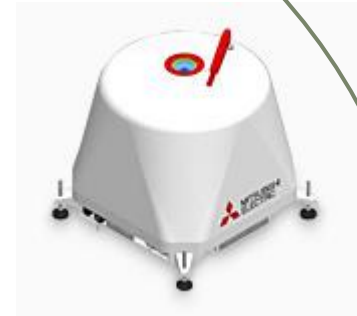
Detección directa

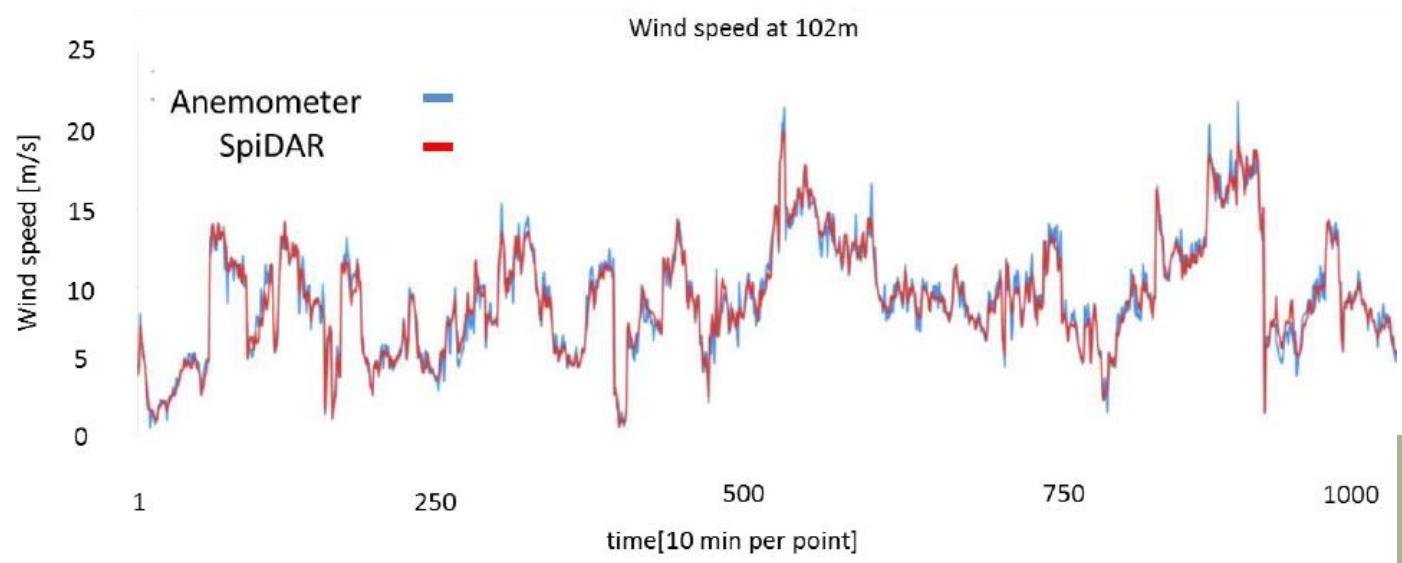
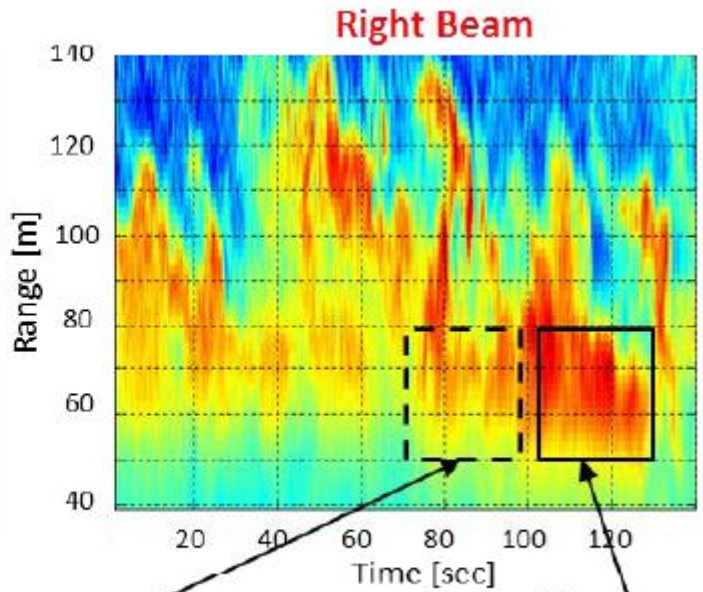
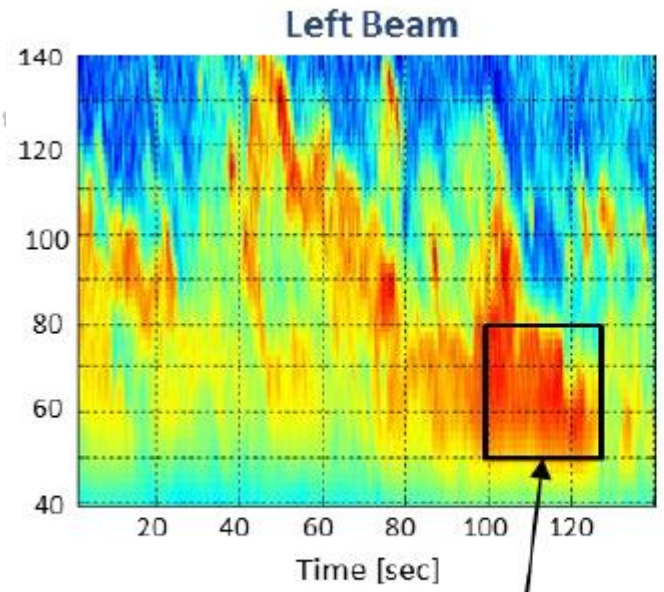
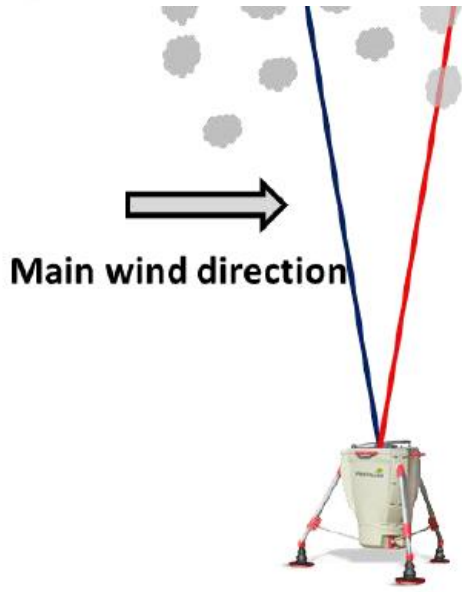


1/4

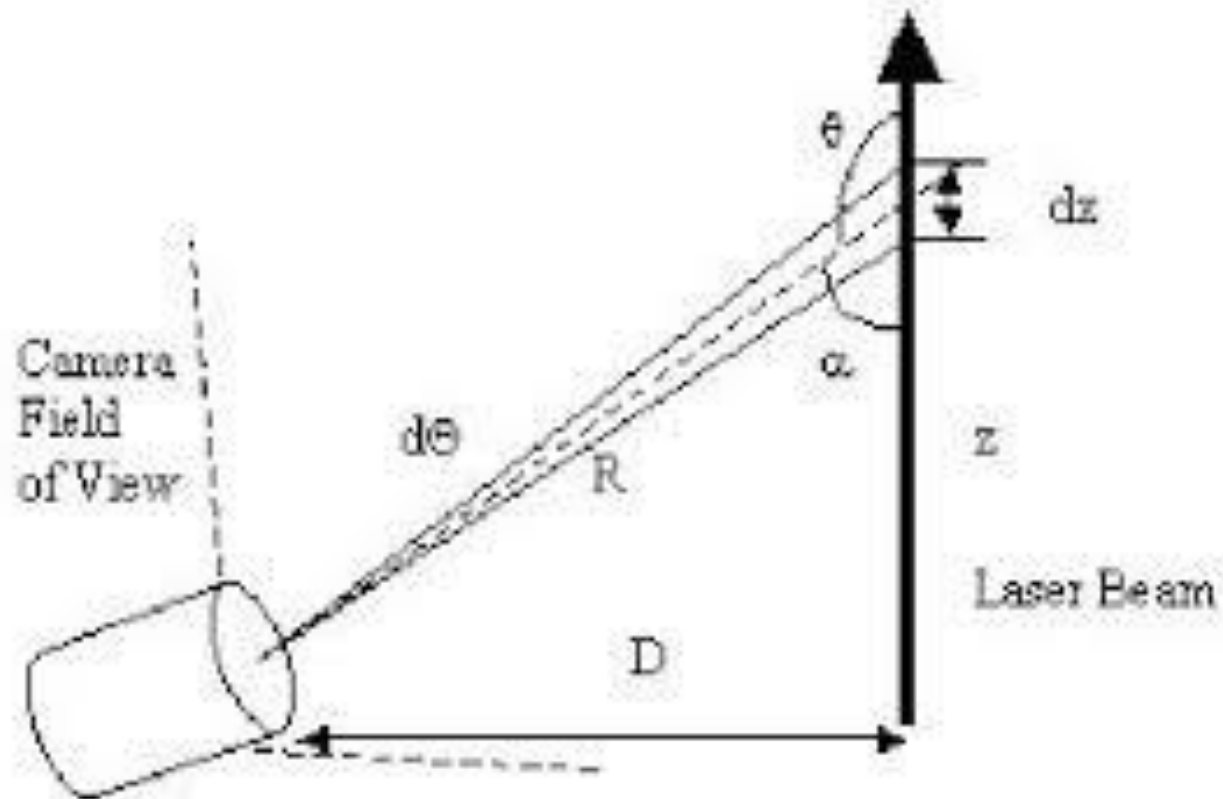
ZephIR 300M

- 3 years warranty offshore
- patented lidar for floating platforms
- DNV GL Stage 3 for fixed platforms
- unrivalled experience offshore





Camera Imaging Lidar



Muchas gracias por su atención



A simple Introduction:

Obtention Temperature Profile in Stratosphere (line 355 nm)

$$P(r) = M_0 \eta \frac{A c}{r^2 2} \beta(r) \exp \left(-2 \int_0^r \alpha(r') dr' \right) \quad (1)$$

M_0 is the number of photons transmitted
 A is the receiver collecting area
 η is the efficiency of the receiver
 c is the speed of light

Above the stratospheric aerosol layer according to Equation (1) the return signal is proportional to the atmospheric density

$$n_R(r) \propto P(r) r^2 \quad \text{Range Corrected}$$

If the density at some reference altitude is known eg from a radiosonde or a model atmosphere the lidar can be used to measure absolute density

The ideal gas law:

$$p = n_R k_B T \quad (2)$$

Assuming hydrostatic equilibrium the pressure change with altitude is given by the weight of the air column between two altitude levels

$$\frac{dp}{dr} = -n_R(r) M g(r) \quad (3)$$

Integration of the hydrostatic equation (3) and use of the ideal gas law (2) give :

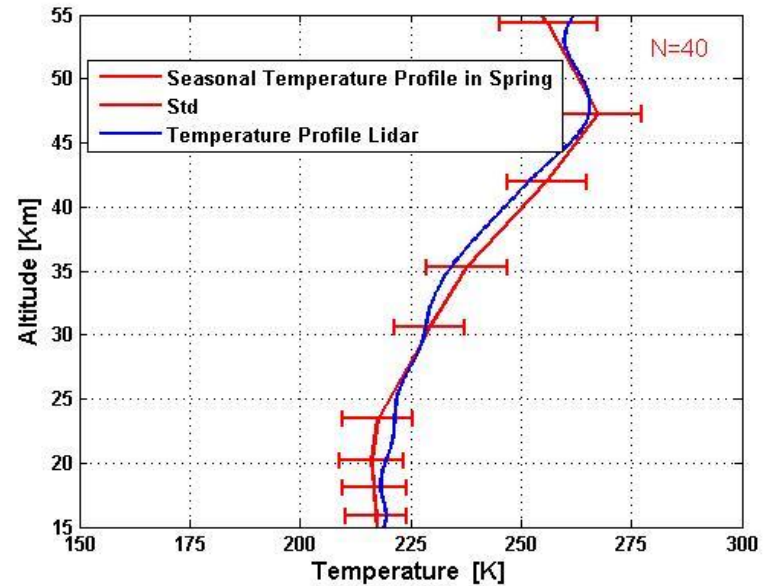
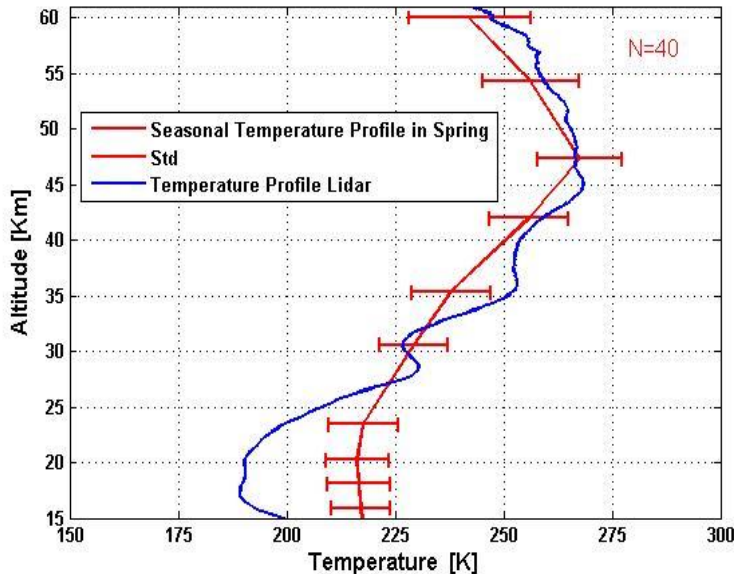
$$n_R(r) k_B T(r) = n_R(r_o) k_B T(r_o) - \int_r^{r_o} n_R(r') M g(r') dr'$$

$$T(r) = T(r_o) \frac{r_o^2 P(r_o)}{r^2 P(r)} + \frac{M}{k_B} \frac{\int_{r_o}^r r'^2 P(r') g(r') dr'}{r^2 P(r)}$$

Dynamic of the Polar Vortex

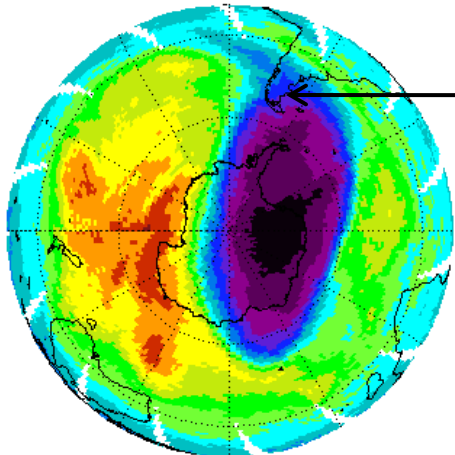
October 9, 2005

October 17, 2005

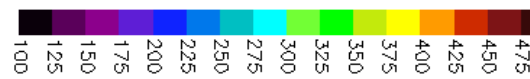
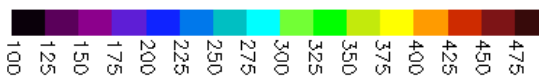
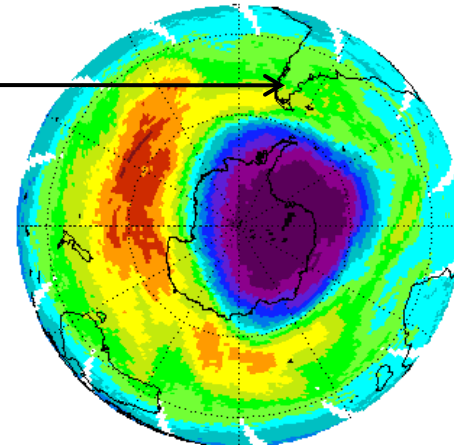


EP/TOMS Total Ozone for Oct 9,

EP/TOMS Total Ozone for Oct 17,



8 days



Measurement precision

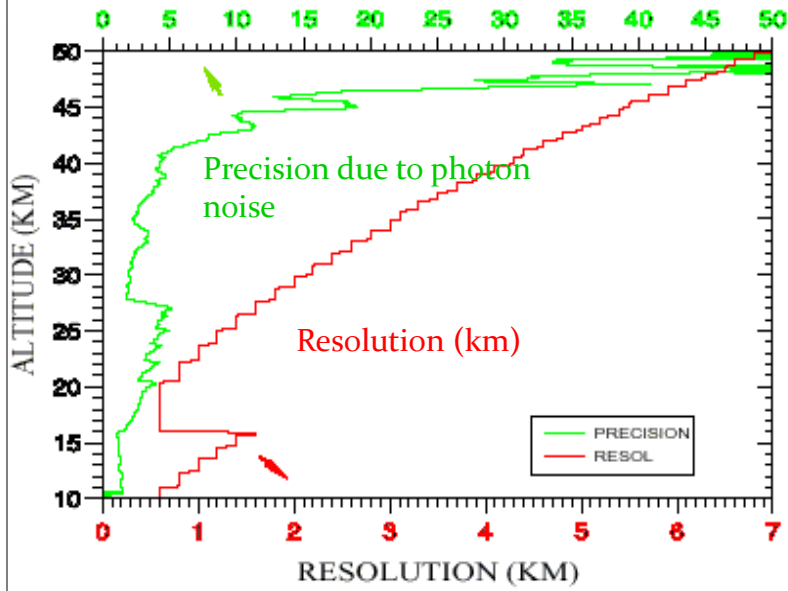
- History
- Principle**
- NDACC
- Mid-lat & Tropics
- Polar studies
- Trends
- Validation
- Perspective

Statistical error (photon noise)

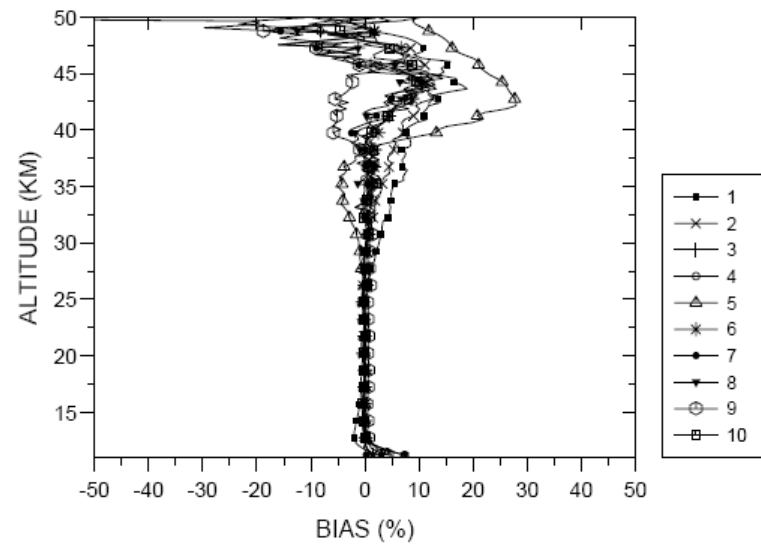
$$\epsilon_s(z) = \frac{1}{2n_{O_3}(z)\Delta\sigma_{O_3}\Delta Z} \left[\sum_{i,j} \frac{c_j^2 P_{i,j}}{N_i(P_{i,j} - P_{bgi})^2} \right]^{1/2}$$

$$\epsilon_s(z) \propto \left(\frac{A\Delta Z^3 P_a T_a}{\text{PRECISION}(\%)^2} \right)^{-1/2}$$

coefficients of derivative filter



Bias of DIAL derivative filters



Godin et al., App. Opt., 1999

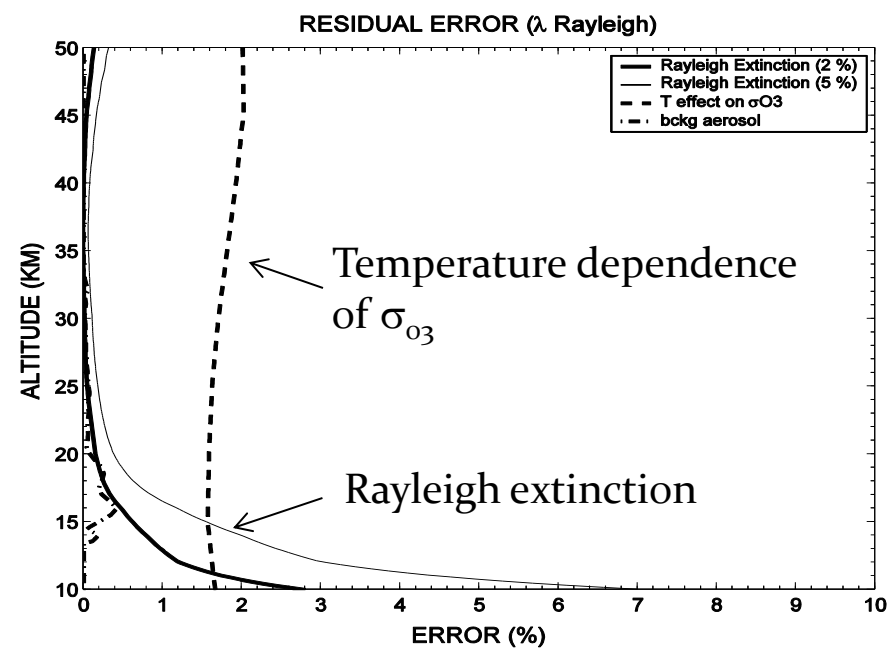
V Workshop on Lidar Measurements in Latin America – Buenos Aires Nov. 30 – Dec. 3, 2009



Accuracy of DIAL ozone profiles

- History
- Principle**
- NDACC
- Mid-lat & Tropics
- Polar studies
- Trends
- Validation
- Perspective

Residual error after correction of δn_{O_3} **not including the photon noise**



- Atmospheric number density 5% error
- 1.5 % precision in ozone cross-section, Temperature : error of 5 K

Above ~15-20km, residual error dominated by error on $\sigma_{O_3}(\lambda, z)$

e.g. Godin-Beekmann et al., JEM, 2003



For most molecular species such as H₂O, SO₂, NO₂, and NO the wavelength separation between the **ON** and **OFF** wavelengths can be smaller than 1 cm⁻¹. If this is the case, the differences in the **scattering properties** of the atmosphere and **the differential extinction** due to aerosol and interfering gases **can be neglected** and the gas number density is given simply by:

$$N(R) = \frac{1}{2\Delta\sigma} \frac{d}{dR} \ln \left(\frac{P(\lambda_{off}, R)}{P(\lambda_{on}, R)} \right)$$

For the particular species mentioned above **great care should be taken to avoid systematic errors or random deviations** due to large laser linewidth or wavelength instability of the lidar transmitter.

This problem is not essential for species like ozone or chlorine that has broad absorption features.



The determination of gas concentration profiles with DIAL must include the following operations (Browell, 1985):

(1) Measurement of the elastic lidar signals at the on and off wavelengths. An additional lidar signal measurement may also be made at a reference wavelength, λ_{ref} , that allows determination of the backscattering and extinction corrections.

(2) Calculation of the first raw estimate of the absorbing gas concentration profile $N(R)$. This makes it possible to estimate the data quality and the achieved measurement range.

(3) Calculation of the particulate extinction coefficient profile at the reference wavelength and determination of the backscatter and extinction corrections for the ozone concentration.

(4) Calculation of the final absorbing gas concentration profile by using the backscatter and extinction corrections. Note that the backscatter and extinction corrections can be made either after taking the derivative of the signal ratio logarithm or before this operation. One can avoid additional numerical differentiation when determining the backscatter correction term, making the corrections before the ozone concentration is extracted (Kovalev and McElroy, 1994; Kovalev et al., 1996).

Interference with volcanic aerosol

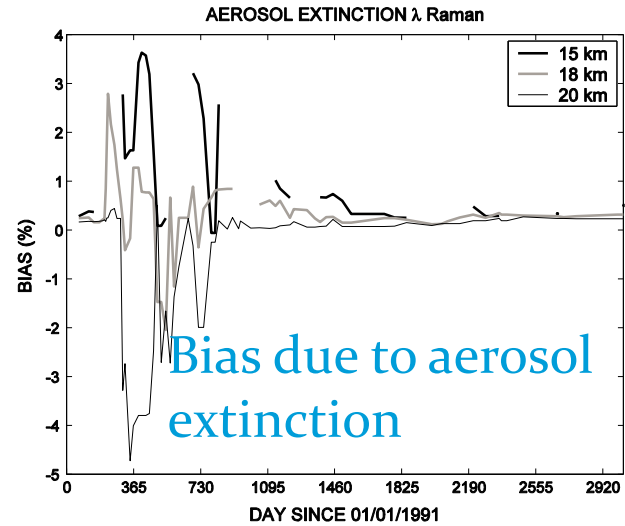
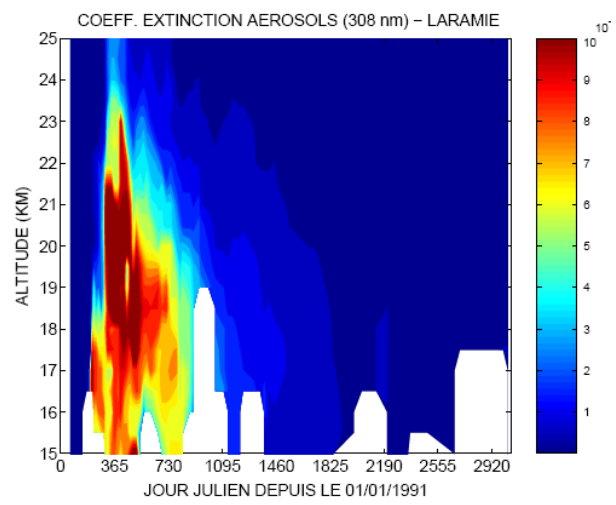
Use of N₂ Raman wavelengths

$$n_{O_3}(z) = -\frac{1}{2 \cdot \Delta\sigma_{O_3}(z)} \frac{d}{dz} \ln \left(\frac{S(\lambda_{on}^R, z) - S_b(\lambda_{on}^R, z)}{S(\lambda_{off}^R, z) - S_b(\lambda_{off}^R, z)} \right) + \delta n_{O_3}(z)$$

$$\sigma_{O_3}(\lambda_{on}, z) - \sigma_{O_3}(\lambda_{off}, z) + \sigma_{O_3}(\lambda_{on}^R, z) - \sigma_{O_3}(\lambda_{off}^R, z)$$

McGee et al., GRL, 1993

Bias due to Pinatubo volcanic aerosol (using optical counter data from Deshler et al. GRL, 1993)



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DIAL equation (1)

In practice, lidar signals are **not** recorded or analyzed as continuous functions, but rather as values in **discrete range bins**.

$$N = \frac{1}{2\Delta\sigma} \left[\frac{d}{dR} \ln \left(\frac{P_{\text{on}}}{P_{\text{off}}} \right) \right] \quad \longrightarrow \quad N = \frac{1}{2\Delta\sigma \Delta R} \ln \left(\frac{P_{\text{off}}(R + \Delta R)}{P_{\text{off}}(R)} \frac{P_{\text{on}}(R)}{P_{\text{on}}(R + \Delta R)} \right)$$

A real lidar system will have some limit with which it can resolve the term in parentheses

lidar's limit of detection N_{LD} for the gas of interest.

R.T.H. Collis, P.B. Russell: Lidar Measurement of Particles and Gases by Elastic Backscattering and Differential Absorption. In *Laser Monitoring of the Atmosphere*, E.D. Hinkley, ed. (Springer-Verlag, New York 1976), p. 102



Error propagation

Assuming for simplicity that the error in the off signal can be ignored in comparison with that in the on signal, one can obtain a simple formula for the relative error of the chemical species concentration.

$$\delta n' = \frac{1}{2\Delta\tau_{A,dif}} \sqrt{\left[\frac{\Delta P_{on}(r)}{P_{on}(r)}\right]^2 + \left[\frac{\Delta P_{on}(r+\Delta r)}{P_{on}(r+\Delta r)}\right]^2 \pm [\text{COV}(P_r, P_{r+\Delta r})]^2}$$

$$\Delta\tau_{A,dif} = \tau_{A,on} - \tau_{A,off} = N(R)\Delta\sigma\Delta R$$

where $\Delta\tau_{A,dif}$ is the differential optical depth, that is, the difference between the optical depths $\tau_{A,on}$ and $\tau_{A,off}$ over the range

When ΔR is small, the quantities $P_{on}(R)$ and $P_{on}(R + \Delta R)$ may be highly correlated; therefore, the covariance term of the signals, $\text{COV}(P_R, P_{R+\Delta R})$ is included

the range element ΔR in DIAL measurements must be long enough to provide acceptable accuracy in the retrieved chemical species concentration. Thus the local differential absorption optical depth is the most important factor that influences accuracy of the measured data.



History

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Tropics

Polar
studies

Trends
Validation

Perspective

- First lidar measurements of ozone vertical distribution using dye lasers
Mégie G., J.Y Allain, M.L. Chanin, J.E. Blamont
Nature **270**, 329 - 331 (1977) | doi:10.1038/270329a0.
- Optimisation of lidar ozone measurements
Minimisation of statistical error following Shotland, J Appl Meteor **13** (1974), for water vapor measurements
Pelon J. and G. Mégie, *Nature*, 1982
- First measurements with an excimer laser
Possibility to reach the high stratosphere
Werner J, K. W. Rothe and H. Walter: *Appl. Phys. B*, 1983

- Any lidar technique is dependent on the availability of suitable lasers, but for DIAL, the requirements are especially inflexible because the required laser characteristics are determined by the spectra of the molecules to be measured.
- The biggest problem has historically been to develop reliable lasers with outputs at appropriate wavelengths. (Tunable laser sources, especially OPOs)
- Remote monitoring of localized pollution sources such as plumes was demonstrated very early in the history of DIAL
- DIAL systems for ozone and industrial pollution will no doubt continue to gain acceptance as costs become lower,

Como desarrollar aun mas estas ideas

- Recurrir a financiamiento local, internacional (ventajas/desventajas).
- Facililidad en gestion de compras en el exterior.
- Generar redes y grupos de trabajo.